

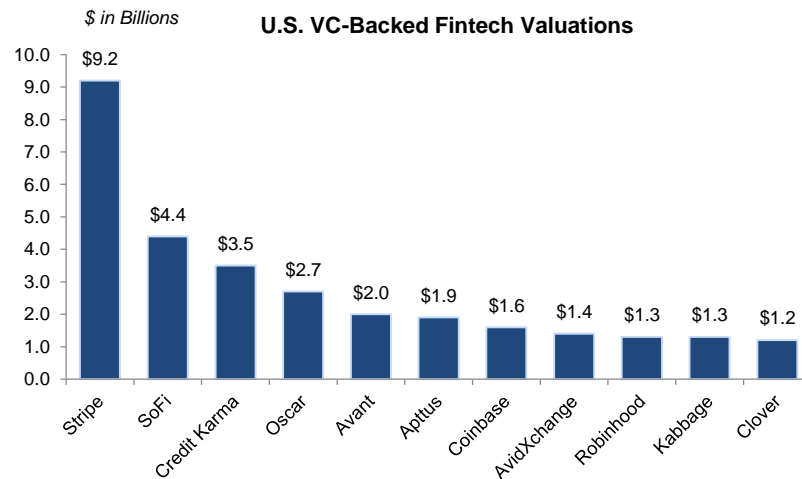
# Private Placement Activity

11/06/2017 – 11/10/2017 (Transactions in excess of \$20 million)

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## Trends & Commentary

- 2017 has seen many well-known startups fail, resulting in over \$1.0B in lost VC funding. Notable startups that have discontinued their operations include Yik Yak, Quixey and Jawbone.
- Lumia Capital has hired Peter Weed as a GP and Head of their Global Success Platform. Peter was previously the co-head of McKinsey's global growth tech practice.
- U.S.-based fintech startups have seen large rounds of financing, pulling in roughly \$19.0 billion across 1.4K+ deals from VCs since 2015. (see figure)
- Many private equity firms are planning multibillion-dollar funds, specifically in Asia, exemplified by Baring Private Equity Asia targeting a \$6.0B Asia fund, and KKR's \$9.3B flagship Asia fund, the biggest pool of capital ever raised with a focus on the region.
- Stitch Fix, a delivery service that uses data science and human stylists to deliver personalized boxes of clothing, is looking to raise \$171M in its IPO. This will set the valuation to nearly \$2.0B, a massive increase from its \$300M valuation in 2014. The Company, which utilizes a "pay for what you keep" model, has invested heavily in acquiring new clients and engaging existing ones by nearly tripling its advertising spend in 2015.



## Highlights

- I.Am+, a developer of wearable devices, raised \$206 million – Salesforce Ventures led the round.
- Intersection, a developer of media platforms, such as touchscreen kiosks in cities and public spaces, raised \$150 million – Graham Holdings led the round.
- Compass, a provider of a technology platform for real estate information and agent services, raised \$100 million in Series E funding at a \$1.7 billion pre-money valuation – Fidelity Investments led the round.
- Leanplum, a developer of a mobile marketing platform for user engagement, raised \$47 million in Series D funding at a \$188 million pre-money valuation – Norwest Venture Partners led the round.
- Credit Sesame, a provider of a credit and loan management platform, raised \$27 million in Series funding at a \$220 million pre-money valuation – investors include Globespan Capital Partners.
- Excelero, a provider of software-defined block storage services, raised \$25 million in Series B funding – Battery Ventures led the round.
- Qubole, a developer of a data management platform, raised \$25 million in Series D funding at a \$260 million pre-money valuation – Harmony Partners and Singtel Innov8 led the round.
- Jitterbit, a developer of an API integration platform, raised \$25 million in Series C funding at a \$70 million pre-money valuation – Kohlberg Kravis Roberts led the round.

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<b><i>Information Technology</i></b>						
Monzo	7-Nov-17	London, United Kingdom	Blue Wire Capital, Goodwater Capital, <b>Orange Digital Ventures</b> , <b>Passion Capital</b> , Stripe, <b>Thrive Capital</b>	\$94	N/A	Developer of a banking application designed to make a bank as smart as a phone. The company's banking application uses smartphone technology to update balances instantly, give intelligent notifications and track day-to-day financial transactions without any usage fees, enabling consumers to get a graphical timeline of their overall expenditures. <b>Goodwater Capital led the round. \$276 million pre-money valuation.</b>
Leanplum	8-Nov-17	San Francisco, CA	<b>Canaan Partners</b> , Kima Ventures, <b>Kleiner Perkins Caufield &amp; Byers</b> , Norwest Venture Partners, <b>Shasta Ventures</b> , Techstars, VoiVoda Ventures	\$47	Series D	Developer of a mobile marketing platform designed to deliver meaningful user engagement across both messaging and the in-application experience. The company's mobile marketing platform offers messaging, automation, application-editing, personalization, A/B testing and analytics services, all in a single platform, enabling product managers to use A/B testing, data and real-time updates to understand and monetize their users better. <b>Norwest Venture Partners led the round. \$188 million pre-money valuation.</b>
Natural Cycles	9-Nov-17	Stockholm, Sweden	<b>Bonnier Growth Media</b> , e.ventures, EQT Ventures, <b>Sunstone Capital</b>	\$30	Series B	Provider of a mobile based fertility tracking application designed to empower woman with knowledge about her body, menstrual cycle and fertility. The company's fertility tracking application is an algorithm based system that detects and predicts ovulation, fertility, sperm survival, temperature fluctuations, past cycles and cycle irregularities into account, enabling women aged between 25 to 5 years to plan their pregnancy with natural contraception. <b>EQT Ventures led the round.</b>
StrongBox Data Solutions	7-Nov-17	Montreal, Canada	Fonds de solidarité FTQ, <b>Partner One Capital</b>	\$27	N/A	Provider of cognitive data management and archive services. The company's cognitive data management and archive platforms provides and makes data and storage management simple, with a suite of services that leverage the power of metadata combined with machine learning to help reduce storage costs, bridge otherwise incompatible data and storage environments and prove global control of any data, on any storage, anywhere.
Credit Sesame	6-Nov-17	Mountain View, CA	Camp One Ventures, <b>Globespan Capital Partners</b> , Grail Partners, <b>IA Capital Group</b> , <b>Inventus Capital Partners</b> , <b>Menlo Ventures</b> , Plug and Play Tech Center, SF Capital, Syncora Alternative Investments	\$27	Series E	Provider of a credit and loan management platform intended to change the way consumers apply for and manage their credit. The company's credit and loan management platform helps consumers analyze loans, credit score, existing home mortgage, credit card debt and gives recommendations for refinancing home mortgages, enabling them to find better financial products and do more with their credit score. <b>\$220 million pre-money valuation.</b>
Excelero	7-Nov-17	San Jose, CA	<b>Battery Ventures</b> , Qualcomm Ventures, <b>Square Peg Capital</b>	\$25	Series B	Provider of software-defined block storage services designed to meet performance and scalability requirements of the largest Web-scale and enterprise applications. The company's block storage services offer NVMesh, a distributed block layer that allows unmodified applications to utilize pooled NVMe storage devices across a network at local speeds and latencies, enabling customers to build distributed, high-performance Server SAN for mixed application workloads while avoiding proprietary hardware lock-in and reducing the overall storage. <b>Battery Ventures led the round.</b>

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<b><u>Information Technology</u></b>						
Shopback	6-Nov-17	Singapore, Singapore	Aetius Capital, AppWorks Ventures, Credit Saison, <b>East Ventures</b> , Intouch, <b>Qualgro</b> , Quest Ventures, <b>Singtel Innov8</b> , <b>SoftBank Ventures Korea</b>	\$25	Series A	Provider of a platform for e-commerce discovery and loyalty intended to offer a smarter way to shop. The company's platform for e-commerce discovery and loyalty offers consumers real cash rebates on online purchases, focusing on consumers in the USA and Asia-Pacific regions, providing users with discount coupons and voucher codes for online shopping. <b>Credit Saison led the round.</b>
Qubole	8-Nov-17	Santa Clara, CA	<b>Charles River Ventures</b> , Harmony Partners, <b>IVP</b> , <b>Lightspeed Venture Partners</b> , Milliways Ventures, <b>Norwest Venture Partners</b> , Singtel Innov8	\$25	Series D	Developer of a data management platform intended to provide data-driven insights. The company's data management platform provides cloud-based data platform that self-manages, self-optimizes and learns to improve automatically and provides agility and flexibility, enabling customers to focus on their data. <b>Harmony Partners, Singtel Innov8 led the round. \$260 million pre-money valuation.</b>
Jitterbit	7-Nov-17	Alameda, CA	<b>Kohlberg Kravis Roberts</b> , New Ground Ventures, Primera Capital (Venture Capital), Salesforce Ventures	\$25	Series C	Provider of API integration platform designed to offer a smarter approach to business transformation. The company's API integration platform connects on-premise and cloud applications and instantly infuse artificial intelligence into any business process, enabling clients to combine data with external APIs to launch innovative technology. <b>Kohlberg Kravis Roberts led the round. \$70 million pre-money valuation.</b>
<b><u>Consumer Products and Services (B2C)</u></b>						
China Overseas Grand Oceans Group	8-Nov-17	Kowloon, Hong Kong	N/A	\$594	PIPE	Provider of real estate and property development services. The company's real estate and property development services include, properly development, construction, investment and renovation, enabling contractors to complete their real estate projects on time.
Jyothy Laboratories	8-Nov-17	Mumbai, India	Actis, CLSA Capital Partners, ICICI Bank, IL&FS Investment Managers, MCap Advisors, Premji Invest	\$460	PIPE	Manufacturer of multi-brand consumer goods. The company manufactures and markets fabric whiteners, soaps, detergents, mosquito repellents, scrubbers, body care products and incense sticks. It is organized into three business segments: soaps and detergents; home care and other consumer durable products. <b>Henkel acquired 26% at a \$1.8 billion valuation.</b>
I.Am+	6-Nov-17	Los Angeles, CA	Access Industries, Deutsche Telekom Capital Partners, Deutsche Telekom Strategic Investments, Jorg Mohaupt, Salesforce Ventures	\$206	N/A	Developer of wearable devices designed to improve connected lifestyle. The company's wearable devices combine fashion and technology and employs artificial intelligence, enabling users to get most sought-after fashion accessories. <b>Salesforce Ventures led the round.</b>
Phoenix Travel Worldwide	7-Nov-17	Beijing, China	CITIC Capital Partners, CornerstoneCapital, Haier, <b>Legend Capital</b> , Legend Holdings, Lenovo, Silk Road Ventures, Yonghua Capital	\$105	Series C	Operator of a travel agency in China. The company offers overseas travel and tourism services to cater to the hospitality industry in China. <b>CITIC Capital Partners, Haier, Legend Capital, Legend Holdings led the round.</b>

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<b><u>Consumer Products and Services (B2C)</u></b>						
Compass	8-Nov-17	New York, NY	Cheerland Investments Group, Fidelity Investments, Founders Fund, <b>IVP</b> , Naftali Group, Prudence Holdings, The Goldman Sachs Group, Three Tree Ventures, Thrive Capital, <b>Wellington Capital Management</b>	\$100	Series E	Provider of a technology platform designed for real estate information and agent services. The company provides an end-to-end technology platform that enables customers to search for apartments, schedule visits and apply for properties. <b>Fidelity Investments led the round. \$1.7 billion pre-money valuation.</b>
CC Video	10-Nov-17	Beijing, China	IDG Capital, Jiangsu High-Tech Investment Group, Jiawo Capital, NewQuest Capital Partners, Silk Road Fund Company, Steamboat Ventures	\$31	Series C	Provider of online video technology and operation services intended to help in video could computing. The company's online video technology and operation services include cloud services such as cloud storage, cloud management and cloud streaming of videos to users in the education, media, government enterprises and other fields.
Evolva	8-Nov-17	Reinach, Switzerland	Banque Pictet & Cie, California Institute for Quantitative Biosciences, Cologny Advisors, Vækstfonden, Yorkville Advisors	\$21	PIPE	Developer of a fermentation-based platform designed to produce ingredients for the food, beverage and consumer health sectors. The company's fermentation-based platform uses synthetic biology to create small molecule drugs and other compounds and provides sustainable ingredients for health, nutrition and wellness, enabling consumers to enjoy the ingredient and its benefits.
Scoop Technologies	9-Nov-17	San Francisco, CA	BMW i Ventures, G2VP, Index Ventures (UK), Workday Ventures	\$20	Series B	Provider of a mobile based car pooling services designed to share commute with nearby coworkers and neighbors. The company's car pooling application schedules AM and PM trips automatically in a custom carpool, identifies trips based on the fastest route, nearby carpoolers, carpool lanes and more, enabling daily commuters to save their daily commuting cost, share and enjoy a convenient way to carpool. <b>G2VP led the round.</b>
<b><u>Business Products and Services (B2B)</u></b>						
Nio	8-Nov-17	Shanghai, China	Baidu Capital, <b>CITIC Capital Partners</b> , IDG Capital, JD.com, <b>Lone Pine Capital</b> , Sequoia Capital China, Temasek Holdings, <b>Tencent</b> , Warburg Pincus	\$1,000	N/A	Developer of next-generation electric cars designed to shape the future of mobility in the hopes of establishing a sustainable future. The company engages in the research, development and production of smart, high-performance, premium electric vehicles. <b>Tencent led the round. \$4.0 billion pre-money valuation.</b>
Intersection	7-Nov-17	New York, NY	ArrowMark Partners, Graham Holdings, NewSpring Capital, Sidewalk Labs, Supply Chain Ventures	\$150	N/A	Developer of a digital technology platform intended to improve daily life in cities and public spaces. The company's digital technology platform delivers products live in public locations, where people engage with them every day, delivering connectivity, information and content that elevate the urban experience, enabling clients to advertise, drive relevance and engage through real-world and real-time context. <b>Graham Holdings led the round.</b>
Yotpo	8-Nov-17	Tel Aviv, Israel	<b>2B Angels</b> , <b>Access Industries</b> , <b>Bessemer Venture Partners</b> , <b>Blumberg Capital</b> , Magna Capital Partners, <b>Marker</b> , <b>Rhodium</b> , <b>Vintage Investment Partners</b>	\$51	Series D	Provider of an user-generated content marketing platform designed to help businesses improve their user engagement through fan contributions, such as user-generated videos. The company's platform helps users create videos, reviews & photos and drive interest in a company's website and products. <b>Access Industries led the round.</b>

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<b><u>Business Products and Services (B2B)</u></b>						
Ashiana Housing	7-Nov-17	Saket, India	Creador Capital, The Goldman Sachs Group, WestBridge Capital	\$41	PIPE	Operator of a real estate development company. The company is involved in middle to upper-middle income residential housing projects in satellite cities and towns in India offering the middle-class homeowner features that were hitherto seen only in higher income level group housing. It also develops limited retail and commercial properties, including hotels.
Aquam	6-Nov-17	San Diego, CA	Credit Suisse, GLH Asset Management, NewWorld Capital Group, Turtle Creek Asset Management, Walsingham Growth Partners	\$26	N/A	Provider of pipe rehabilitation technologies and maintenance service. The company provides a comprehensive range of proven technologies and services, including pipe diagnostics, condition assessment and analytics, remote water metering and data management to owners and operators of both water and natural gas pipe infrastructures. <b>NewWorld Capital Group led the round.</b>
CargoX	7-Nov-17	Sao Paulo, Brazil	<b>Agility</b> , Lumia Capital, NXP Labs, Qualcomm Ventures, South Ventures, <b>The Goldman Sachs Group</b> , Valor Capital Group	\$20	Series C	Provider of trucking marketplace created to connect truck drivers with corporations. The company's trucking marketplace makes use of truck's existing excess capacity and improving freight visibility, enabling companies to reduce their shipping costs and enhance profitability. <b>The Goldman Sachs Group led the round.</b>
<b><u>Energy</u></b>						
Optimum Petroleum Development	8-Nov-17	Lagos, Nigeria	Milost Global	\$850	N/A	Operator of petroleum exploration company. The company through its process of drilling the hydrocarbon zones provides oil and petroleum products in Nigeria. <b>Milost Global led the deal.</b>
V3 Commodities	8-Nov-17	New York, NY	Colbeck Capital Management	\$50	N/A	Provider of capital and risk management services intended to offer assistance to retail energy providers. The company's capital and risk management services offers assistance for organizations that operate in the deregulated North American energy markets having its focus in trade credit and working capital facilities and natural gas logistics. <b>Colbeck Capital Management led the round.</b>
<b><u>Financial Services</u></b>						
WeLab Holdings	8-Nov-17	Hong Kong, Hong Kong	Alibaba Entrepreneurs Fund, DST Global, ICONIQ Capital, <b>International Finance Corporation</b> , Kaplan Group Investments, Sequoia Capital	\$220	Series B	Provider of online mobile lending services intended to provide small consumer loans in China and Hong Kong. The company's online mobile lending services effectively analyzes unstructured mobile big data within seconds to make credit decisions for individual borrowers, lowers borrowing costs, enabling customers to apply for loans at a low interest rate.
Aventus Capital	6-Nov-17	Mumbai, India	Gaja Capital Partners, <b>Kohlberg Kravis Roberts</b>	\$55	N/A	Provider of financial advisory services. The company provides restructuring, turnaround, mergers and acquisition, due diligence, private placement and valuation advisory services, enabling the institutional investors, corporates and high net worth families to receive optimum wealth management.

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<b><u>Healthcare</u></b>						
Progenity	7-Nov-17	San Diego, CA	<b>Athyrium Capital Management</b> , Life Science Angels, StartUp Health	\$125	Series B	Provider of molecular diagnostics services for genetic carrier screening and prenatal diagnostic services intended to offer specialized testing services for women and children. The company's molecular diagnostics services include molecular testing services for cystic fibrosis, spinal muscular atrophy, Fragile X Syndrome and genetic carrier screening, providing patients with an access to quality tests that enable informed medical decisions. <b>Athyrium Capital Management led the round.</b>
Counsyl	6-Nov-17	South San Francisco, CA	Felicit Ventures, Founders Fund, GFO Companies, Pilot Growth Equity, SharesPost, The Goldman Sachs Group, The Gramercy Fund, Venture Lending & Leasing	\$80	N/A	Provider of a genomics and DNA screening technology platform intended to promote healthy living. The company's genomics and DNA screening technology platform offers DNA testing and genetic counseling services that provides with early awareness about genetic conditions, enabling individuals to understand their DNA and how it can affect important health decisions and be prepared for the future.
Tricida	8-Nov-17	South San Francisco, CA	Cormorant Asset Management, <b>Limulus Venture Partners, Longitude Capital, OrbiMed, Sibling Capital Ventures</b> , Venrock, <b>Vivo Capital</b> , Wellington Management	\$58	Series D	Developer of non-absorbed oral drug therapies for patients with chronic kidney disease (CKD). The company's lead investigational drug candidate, TRC101 is a first-in-class, orally administered, counterion-free, insoluble, non-absorbed polymeric drug for the treatment of metabolic acidosis in patients with CKD. <b>\$251 million pre-money valuation.</b>
Nouscom	6-Nov-17	Basel, Switzerland	5AM Ventures, Abingworth Management, <b>Life Sciences Partners, Versant Venture Management</b>	\$49	Series B	Developer of next generation immunotherapies created to combat cancer by activating cancer-specific T cells. The company's proprietary technology platform, Exovax, harnesses the full power of the immune response by combining viral vectored genetic vaccines based on neoantigens with other immunomodulators. <b>Abingworth Management led the round.</b>
Exonics Therapeutics	8-Nov-17	Cambridge, MA	CureDuchenne, The Column Group	\$40	Series A	Developer of Gene Editing treatment created to correct genetic mutations resulting in neuromuscular diseases like Duchenne muscular dystrophy. <b>The Column Group led the round. \$60 million pre-money valuation.</b>
EOC Pharma Group	7-Nov-17	Shanghai, China	H&Q Asia Pacific, <b>Sequoia Capital China</b> , Taikang Industry Development Fund	\$32	Series B	Operator of a bio-pharmaceutical firm intended to focus on in-licensing of global oncology products and developing, manufacturing and commercializing them in China. The company's bio-pharmaceutical firm engages in building an integrated manufacturing, development and commercialization platform. <b>Taikang Industry Development Fund led the round.</b>
Excire	6-Nov-17	Skokie, IL	<b>AbbVie Ventures</b> , Eager Info Investments, Gates Ventures, Green Media, Hercules Capital, Innovation Endeavors, Knoll Capital Management, Purple Arch Ventures, Rathmann Family Foundation	\$32	N/A	Developer of immunomodulatory and gene silencing drugs designed to validate against targets. The company's 3-dimensional spherical nucleic acid (SNA) architecture unlocks the potential of nucleic acid therapeutics in multiple organs. <b>Luye Pharma Group, Mark Tompkins led the round.</b>
AnchorDx	7-Nov-17	Guangzhou, China	6 Dimensions Capital, ARCH Venture Partners, KingMed Diagnostics, <b>Marathon Venture Partners</b> , Northern Light Venture Capital, Sijia Jianxin Fund, WuXi AppTec	\$28	Series B	Developer of next-generation sequencing techniques. The company's next-generation sequencing techniques utilizes molecular liquid biopsies which provide non-invasive access to genetic information, providing patients with information about physiological conditions. <b>6 Dimensions Capital, Sijia Jianxin Fund led the round.</b>

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<b><u>Healthcare</u></b>						
Fortuna Fix	8-Nov-17	Laval, Canada	Amgen Ventures, Canadian Institutes of Health Research, <b>Salamander Invest</b>	\$25	Series B	Developer of cell reprogramming and regenerative technologies to bring revolution in next-generation healthcare. The company's cell reprogramming and regenerative technologies have been developing ethical regenerative medicine to restore full neuronal functionality in patients.
Horama	8-Nov-17	Paris, France	Bpifrance, Fund+, <b>GO Capital (France)</b> , I - Lab 2015, IdInvest Partners, Kurma Partners, <b>Omnes Capital</b> , Pontifax Venture Capital, <b>Sham Innovation Santé</b>	\$22	Series B	Developer of gene therapies intended to treat rare retinal diseases. The company's gene therapies consists of a recombinant adeno-associated virus (AAV) in which the viral DNA is replaced by a functional copy of the PDE6B gene by injecting the correct version of the protein right between the photoreceptors and the retinal pigment epithelium, enabling patients to receive an effective treatment for their eye ailments.

# Fund Raises

11/06/2017 – 11/10/2017 (Funds in excess of \$100 million in the U.S., Canada and Europe)

Sponsor Name	Fund Name	Fund Location	Close Date	Fund Type	Sector Coverage	Fund Size (\$M)	Comments
<b><u>Closed Funds</u></b>							
Seidler Equity Partners	Seidler Equity Partners VI	Marina del Rey, CA	7-Nov-17	Buyout	Consumer Services, Manufacturing, Food, Healthcare, Education	\$599	Fundraising took less than 60 days



# Initial Public Offerings

11/06/2017 – 11/10/2017 (U.S. Based Exchanges)

Company Name	Description	Company Sector	Lead Underwriters	Offer Date	File Date	Offering Size	Offer Price Per Share	Latest Share Price	Premium / Discount from IPO	Latest Market Capitalization
<b><u>Priced IPOs</u></b>										
Sogou Inc.	Provides a platform for search and search-related services in China	Database Search	Credit Suisse, Goldman Sachs, J.P. Morgan	11/8/2017	10/13/2017	\$585	\$13	\$14	6.5%	\$5,423
Apellis Pharmaceuticals, Inc.	Develops therapeutic compounds to complement the immune system	Pharmaceuticals	Citigroup, Evercore, J.P. Morgan	11/8/2017	10/13/2017	\$150	\$14	\$14	0.0%	\$690
Metropolitan Bank Holding Corp.	Provides banking products and services to SMBs, public entites and individuals	Holding Company	J.P. Morgan, Keefe, Bruyette, & Woods	11/7/2017	10/4/2017	\$109	\$35	\$37	5.7%	\$286
Four Seasons Education (Cayman) Inc.	Provides after-school education services in China	Education	Citigroup, Morgan Stanley	11/8/2017	10/13/2017	\$101	\$10	\$10	0.0%	\$238
InflaRx N.V.	Develops inhibitors using inflammatory mediators	Pharmaceuticals	BMO, J.P. Morgan Securities	11/8/2017	10/13/2017	\$100	\$15	\$15	0.0%	\$351
CBTX, Inc.	Provides banking solutions to SMBs and professionals	Holding Company	Keefe, Bruyette, & Woods, Stephens	11/7/2017	10/13/2017	\$62	\$26	\$29	12.0%	\$712
<b><u>Filed IPOs</u></b>										
Luther Burbank Corp.	Provides banking services such as real estate loans	Banking			11/9/2017	\$150				
Quanterix Corp.	Provides an immunoassay platform for life sciences research	Biotechnology	-	-	11/9/2017	\$58				
Entera Bio LTD	Develops therapeutics for oral delivery	Pharmaceuticals	-	-	11/9/2017	\$50				
SSLJ.COM LTD	Provides online to offline home improvement services in China	Consumer Products			11/7/2017	\$23				



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