

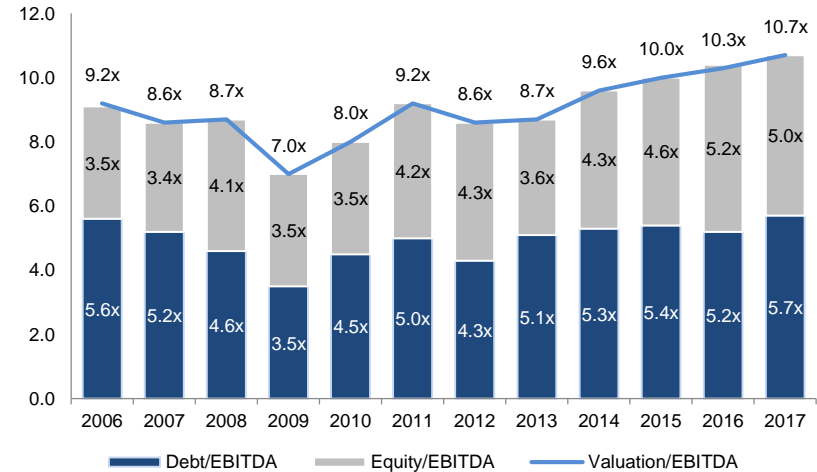
# Private Placement Activity

11/13/2017 – 11/17/2017 (Transactions in excess of \$20 million)

## Trends & Commentary

- U.S. middle-market PE deal values through Q3 have reached \$233.0B in deal value across 1.7K deals, an increase of 13.0% in deal value and a decrease in 1.5% in deals since last year. With higher deal values and fewer deals, the median mid-market EBITDA multiples have grown to reach 10.7x through 2017 Q3, the highest level on record. (see figure)
- Adams Street Partners, an investment firm that invests in business services, consumer product, information technology and other sectors, has just hired James Walker as Partner and COO. Prior to joining Adams Street Partners, James was COO at Credit Suisse Private Bank Americas.
- Geoff Lewis, a former Founders Fund partner, has formed Bedrock Capital with Eric Stromberg, a co-founder of book app Oyster. The newly-formed VC will invest in Series A and B rounds, and has raised \$118M for its debut fund.
- Onex, a Canadian private equity firm, surpassed its \$6.5B target and raised \$7.2B for its fifth flagship buyout fund. The Company invests in B2B and financial services, and has raised the five largest PE funds to be raised by a Canadian private equity firm.
- Stitch Fix's recent IPO has fallen short of expectations, with an offering of \$15 per share, below the anticipated range of \$18-\$20 per share, reaching a \$1.5B valuation. The Company raised \$120M from its IPO, which values Stitch Fix well above its \$300M valuation in 2014, but below its anticipated \$2.0B valuation. Stich Fix raised \$25M in Series C funding in 2014 from Benchmark, Lightspeed Venture Partners, Baseline Ventures and Western Technology Investment.

U.S. Middle Market Multiples



## Highlights

- Deliveroo, a provider of an online food delivery platform, raised \$483 million in Series F funding at a \$1.5 billion pre-money valuation – Fidelity Management & Research and T. Rowe Price led the round.
- Color Genomics, a developer of genetic testing kits, raised \$89 million in Series C funding at a \$310 million pre-money valuation – existing investor General Catalyst Partners led the round.
- Poshmark, an operator of an online social commerce marketplace, raised \$88 million in Series D funding at a \$538 million pre-money valuation – Temasek Holdings led the round.
- LimeBike, an operator of a bike sharing platform, raised \$50 million in Series B funding at a \$150 million pre-money valuation – Coatue Management led the round.
- Kaseya, a provider of IT management and monitoring software services, raised \$45 million – Ireland Strategic Investment Fund led the deal.
- Health IQ, a developer of a health knowledge measurement application, raised \$35 million in Series D funding at a \$140 million pre-money valuation – Andreessen Horowitz led the round.
- Totango, a provider of a cloud-based customer feedback platform, raised \$33 million – investors include Grayhawk Capital.
- ThreatQ, a developer of a threat intelligence platform, raised \$30 million in Series C funding at a \$95 million pre-money valuation – Adams Street Partners led the round.
- LeanTaaS, a provider of a cloud-based healthcare analytic platform, raised \$26 million in Series B funding – Insight Venture Partners led the round.
- GoSpotCheck, a provider of a SaaS-based field execution management platform, raised \$22 million in Series B1 funding – existing investors Insight Venture Partners and Point Nine Capital led the round.
- LightStep, a provider of a performance management platform for production software systems, raised \$20 million in Series B funding at a \$88 million pre-money valuation – Sequoia Capital led the round.

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<b>Information Technology</b>						
Deliveroo	17-Nov-17	London, United Kingdom	<b>Accel, DST Global</b> , Fidelity Management & Research, <b>General Catalyst Partners</b> , <b>Index Ventures (UK)</b> , Swordfish Investments, T. Rowe Price, Toba Capital, U-Start	\$483	Series F	Provider of an online food delivery platform designed to order restaurant meals. The company's online food delivery platform optimizes food ordering and delivery by integrating web and mobile consumers with restaurant tablet-based point-of-sale order management terminals, providing uses with food deliveries from their favorite restaurant. <b>Fidelity Management &amp; Research, T. Rowe Price led the round. \$1.5 billion pre-money valuation.</b>
Color Genomics	14-Nov-17	Burlingame, CA	AME Cloud Ventures, Charles River Ventures, <b>Emerson Collective</b> , Foresite Capital Management, <b>General Catalyst Partners</b> , Khosla Ventures, Shasta Ventures	\$89	Series C	Developer of genetic testing kits created to screen for hereditary health issues. The company's testing kits can be purchased online and include a device to provide a saliva sample that is sent back in a postage-paid package where it will be analyzed and provide a record of hereditary disorders along with personalized screening guidelines, enabling users to take control of their health and create a personalized healthcare plan. <b>General Catalyst Partners led the round. \$310 million pre-money valuation.</b>
Poshmark	14-Nov-17	Redwood City, CA	Cross Creek Advisors, <b>GGV Capital</b> , <b>Inventus Capital Partners</b> , <b>Mayfield Fund</b> , <b>Menlo Ventures</b> , Shea Ventures, Sherpa Capital, SV Angel, Temasek Holdings, Uncork Capital, <b>Union Grove Venture Partners</b>	\$88	Series D	Operator of an online social commerce marketplace intended to help users buy, sell and share their personal style. The company's platform allows people interested in selling clothing and accessories to post photos and details on the application and list an item for sale in less than 60 seconds, enabling other users to connect with people whose style they adore and shop for new and gently used items at prices up to 70% off retail. <b>Temasek Holdings led the round. \$538 million pre-money valuation.</b>
Maimai	15-Nov-17	Beijing, China	DCM Ventures, <b>IDG Capital</b> , <b>Morningside Group</b>	\$75	Series C	Provider of a career-oriented social networking platform designed to chat at workplace. The company's social networking platform is a mobile based application enabling employees to help colleagues in the workplace to expand, cooperate and exchange online contacts, job recruitment and enhance the work efficiency, chat in groups and share information within the office premise.
LimeBike	16-Nov-17	San Mateo, CA	AME Cloud Ventures, <b>Andreessen Horowitz</b> , Coatue Management, <b>DCM Ventures</b> , Decent Capital, GGV Capital, IDG Capital, Immersion Ventures, StartX	\$50	Series B	Operator of a bike sharing platform designed to change the way people travel within blocks. The company's bike sharing platform helps people to locate a bike within blocks, partner cities and campuses and use it by scanning its QR code with their smartphones to unlock the bike, providing users with faster, smarter and affordable ways to get around. <b>Coatue Management led the round. \$150 million pre-money valuation.</b>
Kaseya	17-Nov-17	New York, NY	Insight Venture Partners, Ireland Strategic Investment Fund	\$45	N/A	Provider of IT management and monitoring software services. The company's mission is to help simplify IT management by automating many of the repetitive, manual tasks, gain visibility and control of remote and distributed environments, and keep end users happy by providing quality services, and solving their problems quickly or preventing them altogether. <b>Ireland Strategic Investment Fund led the deal.</b>

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Company Name	Date	Company Location	Investors	Amount Raised (\$M)	Series	Company Description / Comments
<b><i>Information Technology</i></b>						
Health IQ	15-Nov-17	Mountain View, CA	Andreessen Horowitz, <b>Charles River Ventures</b> , Felicis Ventures, <b>First Round Capital</b> , <b>Foundation Capital</b> , Greylock Partners, Menlo Ventures	\$35	Series D	Developer of a health knowledge measurement application. The company's application functions like a game with players completing quizzes and competing against others for mastery of nutrition, exercise and medical conditions. <b>Andreessen Horowitz led the round. \$140 million pre-money valuation.</b>
Totango	13-Nov-17	San Mateo, CA	Benhamou Global Ventures, Canvas Ventures, Gemini Israel Ventures, Grayhawk Capital, InterWest Partners, Kreos Capital, Morgenthaler Ventures, Pitango Venture Capital	\$33	N/A	Provider of a cloud-based customer success platform designed for businesses to manage customer feedback. The company's platform combines big data analytics with segmentation and engagement tools to track customer engagement, find and fix user flow errors and friction enabling businesses to retain and grow their customer base.
ThreatQ	13-Nov-17	Reston, VA	Adams Street Partners, Blu Venture Investors, Cisco Investments, <b>New Enterprise Associates</b> , NTT Docomo Ventures, Silicon Valley Bank, Stonehaven Capital, Virginia Tech Investor Network	\$30	Series C	Developer of an open and extensible threat intelligence platform designed to empower security teams to respond to cyber threats. The company's threat intelligence platform, ThreatQ accelerates security operations through context, prioritization and automation, enabling companies to identify, understand, prioritize and act on high-priority threats facing their organization, based on customer-defined parameters. <b>Adams Street Partners led the round. \$95 million pre-money valuation.</b>
LeanTaaS	14-Nov-17	Santa Clara, CA	Insight Venture Partners, NewYork-Presbyterian Ventures, Sedgwick Claims Management Services	\$26	Series B	Provider of a cloud based healthcare analytic platform intended to transform healthcare operations with data science and machine learning. The company's healthcare analytic platform, iQueue mathematically matches the demand for expensive, constrained healthcare resources such as operating rooms, infusion chairs, imaging assets, inpatient beds. <b>Insight Venture Partners led the round.</b>
Logz.io	15-Nov-17	Tel Aviv-Yafo, Israel	<b>83North</b> , Alumni Ventures Group, <b>Giza Venture Capital</b> , <b>OpenView Venture Partners</b> , Vintage Investment Partners	\$23	Series C	Provider of an open-source log analytics platform designed to help companies of all sizes to derive more value from machine data. The company's open-source log analytics platform is a user-friendly and immensely scalable platform uses AI and machine-learning algorithms, enabling DevOps engineers, system administrators and developers to find critical events in the volumes of information that are now generated in information technology environments.
Atomist	15-Nov-17	San Francisco, CA	Accel, Matrix Partners	\$22	Series A	Developer of a SaaS based development automation platform designed to transform the way software is developed and delivered. The company's platform can make any project into a software factory and can create, ship and continuously improve their software, enabling developers to integrate, streamline and automate the overall flow from code to ship.
TrueAccord	13-Nov-17	San Francisco, CA	Arbor Ventures, Felicis Ventures, Khosla Ventures, <b>Nyca Partners</b> , Plug and Play Tech Center, <b>TenOneTen Ventures</b> , Trusted Insight, Webb Investment Network	\$22	Series B	Developer of an automated debt-recovery platform designed to fundamentally change the debt collection process. The company's automated debt-recovery platform uses behavioral analytics, machine learning and modern communication channels, enabling creditors to improve recovery rates and reduce compliance risk while giving their customers real-time personalization and better user experience.

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<b><i>Information Technology</i></b>						
TaskEasy	16-Nov-17	Salt Lake City, UT	<b>Access Venture Partners</b> , Aries Capital Partners, <b>Camber Creek</b> , <b>Delta Electronics</b> , <b>Grotech Ventures</b> , <b>Moderne Ventures</b> , Park City Angels, River Cities Capital Funds	\$22	Series C	Provider of an on-demand lawn maintenance service platform designed for property owners and contractors to easily book and manage yard care online. The company's lawn maintenance service platform establishes market price and services for homeowners, property managers and landscape contractors making it easier to order lawn care and snow removal services simple and convenient.
GoSpotCheck	17-Nov-17	Denver, CO	500 Startups, Aventura VC, eonCapital, <b>Insight Venture Partners</b> , <b>Point Nine Capital</b> , Service Provider Capital, Techstars, Venture51	\$22	Series B1	Provider of a SaaS-based field execution management platform designed for enterprises who need real-time data and intelligence from the field in order to make quicker and better business decisions. The company's field execution management platform focuses on a user-friendly, mobile app to collect field intelligence. <b>Insight Venture Partners and Point Nine Capital led the round.</b>
Built Technologies	15-Nov-17	Nashville, TN	Index Ventures (UK), Nyca Partners	\$21	Series A	Developer of a construction management software designed to make managing construction loans easy. The company's software offers various workflows accommodate all types of construction loan products and multiple lines of business, smart budgets and inspection sheets to automatically reconcile, organized file management and more.
Behavox	14-Nov-17	London, United Kingdom	Alphabet, Citigroup, Hoxton Ventures, Index Ventures (UK), Level39, Promus Ventures	\$20	N/A	Provider of a people analytics platform designed to help companies search and interrogate huge amounts of employee-generated data in real-time. The company's platform generates previously unidentifiable insights into all aspects of business operations, strengthening compliance, culture and competitiveness and delivers real-time integration of complex and diverse data sources. <b>Citigroup led the round. \$180 million pre-money valuation.</b>
LightStep	14-Nov-17	San Francisco, CA	<b>Cowboy Ventures</b> , <b>Harrison Metal Capital</b> , Heavybit, <b>Redpoint Ventures</b> , Sequoia Capital	\$20	Series B	Provider of a performance management platform designed to provide better visibility into production software systems. The company's performance management platform provides a real-time understanding of how production services interact across organizational boundaries and provides performance omniscience with aggregate and account-specific performance stats and traces. <b>Sequoia Capital led the round. \$88 million pre-money valuation.</b>
<b><i>Consumer Products and Services (B2C)</i></b>						
Vietnam Dairy Products	13-Nov-17	Ho Chi Minh City, Vietnam	Deutsche Bank, Dragon Capital (Vietnam), Fraser & Neave, Jardine Cycle & Carriage, Miraka, State Capital Investment Corporation, VinaCapital Group	\$617	PIPE	Producer and distributor of dairy products intended to retail milk products. The company's dairy products are manufactured by meeting the needs of consumers with delicious and nutritious products and leading favorite brands in market. <b>Jardine Cycle &amp; Carriage acquired 5.53% at an \$11.2 billion valuation.</b>
Ubtech	15-Nov-17	Shenzhen, China	CDH Investments, CITIC Securities Co., Iflytek, Qiming Venture Partners	\$400	Series C	Developer of humanoid robotics designed to integrate intelligent robots into the daily lives of everyone. The company's robots are developed for entertainment and educational purposes, enabling users to create a more intelligent way of life. <b>Tencent led the round. \$4.1 billion pre-money valuation.</b>
Ymatou	15-Nov-17	Shanghai, China	China Merchants Capital, Grand Yangtze Capital, SAIF Partners, Sailing Capital, Tisiwi	\$100	Series C	Operator of an online cross-border e-commerce platform designed to facilitate independent overseas shopping. The company's online cross-border e-commerce platform focuses on connecting the global retail market with China's domestic consumption as well as synchronizes quality products, trendiest lifestyles and cultural concepts all over the world, enabling Chinese consumers shop from a wide range of overseas products online.

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<b><u>Consumer Products and Services (B2C)</u></b>						
Tiff's Treats Holdings	15-Nov-17	Austin, TX	Capstar Partners, CIC Partners, Lew Little, Morgan Stanley Expansion Capital, Revolution Group	\$25	Series D	Provider of classic, baked-to-order cookies and brownies. The company offers cakes, brownies, ice creams, frozen desserts and other food products through online and retail stores in the United States.
Axios Media	17-Nov-17	Arlington, VA	Emerson Collective, Greycroft Partners, Lerer Hippeau Ventures, NBC News, NBCUniversal, WndrCo	\$20	Series B	Operator of a news media startup intended to deliver vital and trustworthy news and analysis. The company offers a mix of original and smartly narrated coverage of media trends, tech, business and politics with expertise voice on an innovative mobile platform, offering smart, short content and newsletters to corporate executives and other professionals. <b>Greycroft Partners, Lerer Hippeau Ventures led the round. \$105 million pre-money valuation.</b>
<b><u>Energy</u></b>						
Silver Creek Midstream	16-Nov-17	Irving, TX	Tailwater Capital	\$150	N/A	Provider of crude oil gathering, storage, and terminalling services. The company engages in the gathering, storage and terminalling of crude and liquid petroleum in the Texas and Wyoming regions of the United States. <b>Tailwater Capital led the deal.</b>
Whitecap Resources	13-Nov-17	Calgary, Canada	N/A	\$74	PIPE	Operator of an oil-weighted growth company focused on profitable per share growth. The company's oil operations are enhanced by opportunistic and accretive oil-based asset acquisitions and their development.
Fulcrum BioEnergy	13-Nov-17	Pleasanton, CA	Air BP, BP Ventures, Cathay Pacific Airways, Hercules Capital, Saints Capital, United Continental Holdings, US Renewables Group	\$51	Series D3	Provider of facilities to convert municipal solid waste products into renewable transportation fuels intended to reduce reliance on imported oil. The company's technology involves a process of converting municipal solid waste (MSW) into low-carbon transportation. <b>\$695 million pre-money valuation.</b>
Texzon Technologies	13-Nov-17	Waxahachie, TX	N/A	\$27	N/A	Developer of a wireless power distribution system. The company specializes in the development of a wireless technology which uses Zenneck surface wave for distribution of energy.
<b><u>Healthcare</u></b>						
Arcus Biosciences	13-Nov-17	Hayward, CA	BVF Partners, <b>Celgene</b> , Decheng Capital, <b>Droia Oncology Ventures</b> , <b>GV</b> , Hillhouse Capital Group, <b>Invus Group</b> , RTA Capital, <b>Taiho Pharmaceutical Company</b> , <b>The Column Group</b> , Wellington Management	\$107	Series C	Developer of innovative cancer immunotherapies created to treat cancer. The company's innovative cancer immunotherapies targets one or more therapeutic nodes and discover drugs that block tumors' ability to hijack the immune system, enabling patients to recover cancer. <b>GV led the round. \$333 million pre-money valuation.</b>

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<b><u>Healthcare</u></b>						
Outpost Medicine	15-Nov-17	Lexington, MA	Adams Street Partners, Frazier Healthcare Partners, Novo Holdings, Takeda Ventures, Vivo Capital	\$61	Series A	Developer of novel therapeutics for the treatment of urologic and gynecologic disorders. The company's lead product candidate, OP-233 (formerly TAK-233) is designed for the treatment of stress urinary incontinence (SUI) which occurs when physical movement or activity, such as coughing, sneezing, laughing, exercise, or heavy lifting puts pressure (physical stress) on weakened urethral sphincter or pelvic floor muscles resulting in unintentional loss of urine.
Xeltis	15-Nov-17	Zurich, Switzerland	<b>Kurma Partners, Life Sciences Partners, Mamie Capital, StartAngels Network, VI Partners, Ysios Capital</b>	\$53	Series C	Developer of bioabsorbable cardiovascular valves and vessels designed to allow Endogenous Tissue Restoration (ETR). The company's bioabsorbable cardiovascular valves and vessels uses endogenous tissue restoration technology, enabling the patient's own body to naturally form a new heart valve through a therapeutic approach.
TerrAscend	15-Nov-17	Mississauga, Canada	Canopy Growth, JW Assset Management	\$53	PIPE	Operator of a vertically-integrated Canadian cannabis company intended to create and deliver quality products and services that meet the evolving needs of the cannabis market. The company's focus lies in providing support to patients through its wholly-owned subsidiaries which offer medical cannabis.
EBR Systems	14-Nov-17	Sunnyvale, CA	<b>Ascension Ventures, Brandon Capital Partners, Delphi Ventures, Emergent Medical Partners, Frazier Healthcare Partners, Split Rock Partners, SV Health Investors</b>	\$50	N/A	Developer of implantable pacemaker for wireless tissue stimulation designed to treat heart failure. The company's implantable pacemaker, WiSE CRT System, uses a proprietary wireless technology to deliver pacing stimulation directly to the inside of the left ventricle of the heart, providing cardiac treatment providers with an approach that is designed to overcome limitations of existing CRT (Cardiac Resynchronization Therapy) systems.
Inozyme Pharma	15-Nov-17	Cambridge, MA	Longitude Capital, New Enterprise Associates, Novo Holdings, Sanofi-Genzyme Bioventures	\$49	Series A	Developer of therapies for rare diseases of calcification affecting soft tissues and bone created to offer potentially disease-modifying therapies to help children who are affected with rare, but severe and debilitating disorders of metabolism. The company's enzyme replacement therapy provides the treatment of Generalized Arterial Calcification of Infancy (GACI) and Autosomal Recessive Hypophosphatemic Rickets Type 2 (ARHR2).
Collective Medical	14-Nov-17	Draper, UT	Bessemer Venture Partners, EPIC Ventures, Kaiser Permanente Ventures, Kleiner Perkins Caufield & Byers, Maverick Ventures, Peterson Partners, Providence Ventures	\$48	Series A	Operator of a collaborative care management platform intended to improve patient outcomes by reducing the risk of emergency department visits and avoidable re-admissions for high-risk patients with complex needs. The company's care management platform uses real-time data, risk analytics, notifications, and shared care guidelines to guide provider decision making in a way that drives differential outcomes in terms of reduced ED utilization and inpatient readmissions.
ImmusanT	14-Nov-17	Cambridge, MA	ARCH Venture Partners, <b>Vatera Healthcare Partners</b>	\$40	Series C	Developer of a therapeutic vaccine designed to induce immune tolerance in celiac disease. The company's Nexvax2 therapeutic vaccine is an epitope-specific immunotherapy based on restoring immune tolerance, or non-responsiveness, to gluten. <b>ARCH Venture Partners led the round. \$50 million pre-money valuation.</b>
Syapse	14-Nov-17	San Francisco, CA	Amgen Ventures, <b>Ascension Ventures, CHV Capital, GE Ventures, Healthbox, Medidata Solutions, Merck &amp; Co., Roche Venture Fund, Safeguard Scientifics, Social Capital</b>	\$30	Series D	Developer of software applications designed to deliver the precision cancer care for every cancer patient through precision medicine. The company's software integrates previously fragmented clinical, molecular, treatment, and health outcomes data and delivers it to physicians at the point of care, enabling oncologists to deliver personalized care to every patient who needs it. <b>\$90 million pre-money valuation.</b>

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<b><u>Healthcare</u></b>						
Arterys	13-Nov-17	San Francisco, CA	AME Cloud Ventures, Asset Management Ventures, <b>Emergent Medical Partners, GE Ventures</b> , MedTech Innovator, Northwell Ventures, Norwich Ventures, StartX, Temasek Holdings	\$30	Series B	Developer of a medical imaging technology designed to offer improved patient care. The company's medical imaging technology visualize and quantifies blood flow in the body using any MRI as well as connects to an MRI machine and sends patient data to a HIPAA-compliant cloud server for computational analysis via a simple web browser. <b>Temasek Holdings led the round. \$62 million pre-money valuation.</b>
X4 Pharmaceuticals	15-Nov-17	Cambridge, MA	<b>Cormorant Asset Management</b> , Oakwood Capital, Seed-Resolute	\$27	Series B	Developer of novel therapeutics designed to improve immune cell trafficking and reverse immune suppression for treating cancer and immunodeficiency diseases. The company's novel therapeutics are oral, small molecule drug candidates inhibit the CXCR4 receptor. <b>Cormorant Asset Management led the round.</b>
Torque Therapeutics	14-Nov-17	Cambridge, MA	Flagship Pioneering	\$25	Series A	Developer of an online platform for providing immunotherapy services. The company is focused on the specific modulation of immune cell subsets based on novel platform technologies to address intractable cancers particularly solid tumors. <b>Flagship Pioneering led the round.</b>

# Fund Raises

11/13/2017 – 11/17/2017 (Funds in excess of \$100 million in the U.S., Canada and Europe)

Sponsor Name	Fund Name	Fund Location	Close Date	Fund Type	Sector Coverage	Fund Size (\$M)	Comments
<b><u>Closed Funds</u></b>							
Onex	Onex Partners V	Toronto, Canada	16-Nov-17	Buyout	Business Products and Services, Financial Services	\$7,150	Largest fund to be raised by a Canadian PE
GI Partners	GI Partners Fund V	San Francisco, CA	14-Nov-17	Buyout	Healthcare, IT Services, Software	\$2,700	
Arrowroot Capital	Arrowroot Capital III	Santa Monica, CA	15-Nov-17	Venture Capital	Software	\$177	
Webster Capital	Webster Capital II-A	Waltham, MA	16-Nov-17	Buyout	Consumer Products and Services, Healthcare	\$150	
Bedrock Capital	Bedrock Capital I	New York, NY	13-Nov-17	Venture Capital	Startup	\$118	Focuses on capital for Series A and B rounds
Anzu Partners	Anzu Industrial Capital Partners	Washington, DC	15-Nov-17	PE Growth-Expansion	Materials and Resources	\$106	



# Initial Public Offerings

11/13/2017 – 11/17/2017 (U.S. Based Exchanges)

Company Name	Description	Company Sector	Lead Underwriters	Offer Date	File Date	Offering Size	Offer Price Per Share	Latest Share Price	Premium / Discount from IPO	Latest Market Capitalization
<b><u>Priced IPOs</u></b>										
Jianpu Technology Inc.	Operates a platform for the discovery and recommendation of financial products in China	Financial Services	Goldman Sachs, J.P. Morgan	11/16/2017	10/20/2017	\$220	\$8	\$7	-10.0%	\$2,969
SendGrid, Inc.	Operates a digital communication platform for businesses to reach their customers via email	Email Marketing	J.P. Morgan, Morgan Stanley	11/14/2017	10/18/2017	\$131	\$16	\$19	16.3%	\$783
Arsanis, Inc.	Develops monoclonal antibody immunotherapies to address infectious diseases	Pharmaceuticals	Citigroup, Cowen and Company	11/15/2017	10/20/2017	\$40	\$10	\$14	39.3%	\$151
<b><u>Filed IPOs</u></b>										
Lexinfintech Holdings LTD.	Operates an online consumer finance platform in China to offer credit and loan products	Financial Services	-	-	11/13/2017	\$500				
TFI TAB Gıda Yatirimlari A.S.	Operates restaurants globally	Restaurants	-	-	11/13/2017	\$400				
Camposol Holding PLC	Produces food for global retailers and wholesalers	Groceries	-	-	11/14/2017	\$345				
GigCapital, Inc.	Acquires technology, media and telecommunication companies	Blank Check	-	-	11/15/2017	\$173				
Odonate Therapeutics, LLC	Develops therapeutics for patients with cancer	Pharmaceuticals	-	-	11/13/2017	\$173				
Hudson Ltd.	Operates travel concession stands in airports, hotels, and other tourist destinations	Travel	-	-	11/14/2017	\$100				
Denali Therapeutics, Inc.	Develops therapeutics to treat neurodegeneration	Pharmaceuticals	-	-	11/13/2017	\$100				
AXA Equitable Holdings, Inc.	Offers annuity products, tax-deferred investment and retirement plans	Financial Services	-	-	11/13/2017	\$100				
Americold Realty Trust	Operates temperature-controlled warehouses	Realty	-	-	11/14/2017	\$10				
Farmmi, Inc.	Process and sells mushrooms in China	Agriculture	-	-	11/15/2017	\$5				



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